

Mining & metallurgy engineers / consultants

Geological Engineering/Consulting
Mining Engineering/Consulting
Shot Firing
Metallurgical Engineering/Consulting
Mining Geotechnical Engineering
Petroleum Engineering/Consulting
Mining Management Consulting
Environmental Engineering/Consulting
Mine Project Management

Professional indemnity & public liability insurance

Proposal form 2011 - 2012

Please return completed proposal form to your nearest Aon office (back page of proposal)

Aon Risk Services Australia Limited ABN 17 000 434 720

Notice to the proposed insured

It is a requirement of the Insurance Contracts Act 1984 and the Corporations Act 2001 that the following notices 1, 2, 3, 4, 5 and 6 be brought to your attention before you complete this proposal form.

1. Disclosure of relevant facts

Your duty of disclosure

Before you enter into a contract of general insurance with an Insurer, you have a duty, under the Insurance Contracts Act, 1984 to disclose to the Insurer every matter that you know, or could reasonably be expected to know, that is relevant to the Insurer's decision whether to accept the risk of the insurance and, if so, on what terms

You have the same duty to disclose those matters to the Insurer before you renew, extend, vary or reinstate a contract of general insurance.

Your duty however does not require disclosure of a matter:

- · that diminishes the risk to be undertaken by the Insurer
- · that is common knowledge
- that your Insurer knows or, in the ordinary course of its business, ought to know
- · as to which compliance with your duty is waived by the Insurer.

Non-disclosure

If you fail to comply with your duty of disclosure, the Insurer may be entitled to reduce its liability under the contract in respect of a Claim or may cancel the contract.

If your non-disclosure is fraudulent, the Insurer may also have the option of avoiding the contract from its beginning.

The requirement of full and frank disclosure of anything which may be material to the risk for which you seek cover (e.g. claims, whether founded or unfounded), or to the magnitude of the risk, is of the utmost importance with this type of insurance. It is better to err on the side of caution by disclosing anything which might conceivably influence the Insurer's consideration of your proposal.

2. Claims made and notified policy

This proposal is for a "claims made and notified" policy of insurance. This means that the policy covers you for claims made against you and notified to the Insurer during the period of cover. This policy does not provide cover in relation to:

- events that occurred prior to the retroactive date of the policy (if such a date is specified);
- claims made after the expiry of the period of cover even though the event giving rise to the claim may have occurred during the period of cover:
- claims notified or arising out of facts or circumstances notified (or which ought reasonably to have been notified) under any previous policy;
- claims made, threatened or intimated against you prior to the commencement of the period of cover;
- facts or circumstances which you first became aware of prior to the period of cover, and which you knew or ought reasonably to have known had the potential to give rise to a claim under this policy;
- claims arising out of circumstances noted on the proposal form for the current period of cover or on any previous proposal form.

However, where you give notice in writing to the Insurer of any facts that might give rise to a claim against you as soon as reasonably practicable after you become aware of those facts but before the expiry of the period of cover, the policy will, subject to the terms and conditions, cover you notwithstanding that a claim is only made after the expiry of the period of cover.

Upon expiry of the policy no further claims can be made thereunder and the need to maintain insurance or arrangement of Run-Off cover is essential.

You should familiarise yourself with our standard form of policy for this type of cover before submitting this proposal.

3. Broker acting as agent of insurer

In effecting this contract of insurance the broker will be acting under an authority given to it by the Insurer and the broker will be effecting the contract as agent of the Insurer and not the Insured.

4. Claims notification

If you become aware of a claim or of circumstances that could give rise to a claim in the future, you should notify us in writing immediately, so that we can notify your Insurer on your behalf. If you become aware of a claim or of circumstances and you do not notify them during the policy period, you could be left uninsured or facing a reduced payout from your Insurer in respect of that claim or any future related claim.

5. Average provision

This policy provides that if a payment in excess of the limit of indemnity available under this policy has to be made to dispose of a claim, the Insurer's liability for costs and expenses incurred with its consent shall be such proportion thereof as the amount of indemnity available under this policy bears to the amount paid to dispose of the claim. Any surplus will be deducted from claim payments.

6. Subrogation agreements

Where another person would be liable to compensate you for any loss or damage otherwise covered by the policy, but you have agreed with that person either before or after the loss or damage occurred that you would not seek to recover any monies from that person, the Insurer will not cover you under the policy for any such loss or damage.

Aon's privacy statement

Aon has always valued the privacy of personal information. When we collect, use, disclose or handle personal information, we will be bound by the Privacy Act 1988.

If you would like a copy of our Privacy policy, or wish to seek access to or correct the personal information we collected or disclosed about you, please telephone or email your Aon contact or access our website – www.aon.com.au.

Vero Privacy Statement

Vero Insurance Limited is a member of the Suncorp Group.

The Privacy Act 1988 (Cth) requires us to inform you that:

Purpose of collection

Personal information is information about an identifiable individual and includes facts or an opinion about you which identifies you or by which your identity can be reasonably determined. The collection of your personal information is essential to enable us to conduct our business of offering and providing you with our range of financial products and services.

We collect personal information for the purposes of:

- identifying you when you do business with us;
- establishing your requirements and providing the appropriate product or service;
- setting up, administering and managing our products and services;
- assessing and investigating, and if accepted managing a claim made by you under one or more of our products;
- improving our financial products and services, including training and developing our staff and representatives.

We may be required by Anti-Money Laundering/Counter Terrorism Financing legislation to collect your personal information.

Consequences if personal information is not provided

If we request personal information about you and you do not provide it, we may not be able to provide you with the financial product or service that you request, provide insurance cover, manage or pay any claim



under an insurance policy, manage your product or provide any benefits, or provide you with the full range of services we offer.

Disclosure

We use and disclose your personal information for the purposes we collected it

We may also use and disclose your personal information for a secondary purpose related to the purpose for which we collected it, where you would reasonably expect us to use or disclose your personal information for that secondary purpose. In the case of sensitive information, any secondary purpose, use or disclosure will be directly related to the purpose of collection.

When necessary and in connection with purposes listed above, we may disclose your personal information to and/or collect your personal information from:

- other companies within the same Group;
- where required or authorised under our relationship with our joint venture companies;
- information technology providers, including hardware and software vendors and consultants such as programmers;
- customer research organisations;
- intermediaries including a representative acting on your behalf, other Australian Financial Services Licensee or our authorised representatives and our agents;
- where you are an insured person and not the policy holder, we will disclose to the policy holder;
- government, law enforcement or statutory bodies;
- the Financial Ombudsman Service;
- other insurers, financial institutions, insurance and claims reference agencies, credit agencies, loss assessors, financial or investigative service providers;
- legal and other professional advisers;
- hospitals, medical and health professionals;
- research and development service providers;
- printers and mail service and delivery providers for the mailing of statements, insurance policy documents and marketing material: and
- imaging and document management services.

Disclosure overseas

There are also instances where we may have to send your personal information overseas or collect personal information from overseas. These instances include:

- sending your personal information to companies in the same Group;
- when you have asked us to do so;

- when we are authorised or required by law to do so;
- when we have outsourced a business activity or function to an overseas service provider with whom we have a contractual arrangement; or
- certain electronic transactions
- when it is necessary in order to facilitate a transaction on your behalf.

We will only send your personal information overseas or collect personal information about you from overseas for the purposes in this statement and in compliance with the privacy regime.

Access

You can request access to the personal information we hold about you by contacting us.

In some circumstances, we are able to deny your request for access to personal information. If we deny your request for access, we will tell you why.

If accessing your personal information will take an extended period of time, we will inform you of the likely delay. For more detailed requests for access to personal information, for example, access to information held in archives, a fee may be charged to cover the associated cost of retrieval and supplying this information.

We would like to use and disclose your personal information to keep you up to date with the range of products and services available from us and other companies within the same Group. Generally, our companies in the Group will use and disclose your personal information for the Group's marketing purposes.

If you do not want us to use and disclose your personal information for the purpose of marketing products and services to you, you should contact and tell us.

Contact

Please contact us to:

- change your mind at any time about receiving marketing material;
- request access to the personal information we hold about you; or
- obtain more information about our privacy practices by asking for a copy of our Privacy Policy;

You can contact us by sending a letter to The Privacy Officer GPO Box 3999 Sydney NSW 2001

Our Privacy Policy can also be found on our website at http://www.suncorp.com.au/suncorp/legal/privacy_policy.aspx



Consulting Field of Work and explanation of Services Covered

Geological Engineering & Consulting

Geological engineers identify and try to solve problems involving soil, rock and groundwater, and design structures in and below the ground, using the principles of earth science. Geological engineers may perform the following tasks:

- investigate the engineering feasibility of planned new developments involving soil, rock and groundwater
- plan and undertake site investigations for proposed major engineering works
- design measures to correct land contamination and salination
- design major structures in rock such as tunnels, basements and shafts
- perform computer analyses, use computer databases and generate computer-aided designs
- work out strategies to control landslides and areas of potential instability
- supervise the construction and performance of major engineering works involving excavation and/or exploration
- act as consultants or researchers, carrying out studies in any of the above fields of activity
- act in managerial positions and be responsible for coordination of multi-disciplinary study teams, staff recruitment and matters of work organisation.

Mining Engineering and Consulting

Mining engineers plan and direct the engineering aspects of extracting mineral resources from the earth.

Mining engineers may perform the following tasks:

- conduct investigations of mineral deposits and undertake evaluations
- determine the most suitable method of mining the minerals taking into account factors such as the depth and characteristics of the deposit and its surroundings
- prepare plans for mines, including tunnels and shafts for underground operations, and pits and haulage roads for open-cut operations, using computer-aided design packages
- prepare the layout of the mine development and the procedure by which the minerals are to be mined
- plan and coordinate the employment of mining staff and equipment with regard to efficiency, safety and environmental conditions
- talk to geologists and other engineers about the design, selection and provision of machines, facilities and systems for mining, as well as infrastructure such as access roads, water and power supplies
- liaise and coordinate with the operations supervisor to make sure there is proper implementation of the plans
- operate computers to assist with calculations, prepare estimates on the cost of the operation and control expenditure when mines come into production
- oversee the construction of the mine and the installation of the plant and equipment
- make sure that mining regulations are observed, including the proper use and care of explosives, and the correct ventilation to allow the removal of dust and gases
- conduct research aimed at improving efficiency and safety in mines
- establish first aid and emergency services facilities at the mines.

Shot Firing

Shotfirers assemble, position and detonate explosives to break or dislodge rock and soil or to demolish structures. Shotfirers may perform the following tasks:

- check blasting areas to make sure that safety regulations are met
- decide quantity of explosives required
- insert detonators and charges into holes
- connect and test or inspect the blasting circuit
- fire charges
- inspect area to make sure all explosives have detonated
- declare the area safe
- check site safety after blasting (e.g. falling rock hazards, underground mine roof supports and harmful fumes).

Metallurgical Engineering and Consulting

Metallurgists research, control and develop processes used in extracting minerals from their ores for refining metals.

Metallurgists may perform the following tasks: apply physical and chemical methods to separate valuable minerals from their ores

- conduct studies of metals and alloys before and during processing to determine their properties
- supervise sampling from various stages of processing for laboratory analysis and testing
- examine metal processing techniques to make sure that quality is maintained and to improve processing or develop new methods
- investigate whether methods being used are the cheapest, most efficient and environmentally acceptable
- work out temperature adjustments, raw material mixtures and other processing variables to make metals
- supervise and coordinate the work of technicians and other workers
- advise operators on production methods and quality control systems
- investigate failure of components to identify the cause
- prepare technical reports

Mining Geotechnical Engineering

A mine geotechnical/soil engineer (for mines)

- inspects proposed construction sites to work out soil and foundation conditions by conducting drilling and sampling programs
- oversees and participates in field and laboratory testing of soils, and makes sure that test equipment and machinery is properly set up
- prepares reports of test results and makes recommendations for the solution of engineering problems identified in test reports
- prepares specifications of soil mixtures for use in roads, embankments and other construction, and calculates and advises on the required slope at cuttings and the thickness of soil dams and retaining walls.



Petroleum Engineering and Consulting

Petroleum engineers plan and manage the recovery of oil and gas from petroleum reservoirs.

Ā petroleum engineer may perform the following tasks:

- study geological and geophysical information to determine what type of drilling equipment and method of drilling would be the most efficient and cost effective, and plan the locations for drilling
- assist in estimating the economic potential of oil and gas reserves by directing the testing of boreholes
- identify the liquids or gases contained, the rate at which they can be recovered, and the temperatures and pressures that need to be dealt with
- plan and conduct research and provide advice on engineering operations for the extraction of petroleum or natural gas
- work out the best way to develop oil and gas reserves
- determine methods of controlling the flow of oil or gas from wells and keep records of production
- plan ways to transport the oil and gas reserves to the seabed, including the use of downhole pumps and gaslift systems
- study operating equipment, environmental problems and the treatment of oil to remove sediment and water
- conduct operations and activities in accordance with environmental policies and codes
- work safely and use any equipment provided for health and safety purposes
- monitor the necessary safety procedures and facilities for personnel working on the project.

Mining Management Consulting

Mine Management consultants study the procedures and systems used in an organisation to assess how individuals and the organisation as a whole can best operate.

Mine Management consultants may perform the following

- gather information on the function of each area within an organisation and the specific duties undertaken by each individual, by talking with managers or supervisors and interviewing other staff
- assess the types of tasks undertaken in each section, the methods or systems used, the length of time taken for an individual to complete a task and any problems involved in undertaking the task
- consult internal training manuals, files and job descriptions to obtain additional information
- study data such as statistical records, reports and organisational charts
- identify problem areas and find solutions by considering alternatives, taking into account the benefits and costs of any recommendations
- write detailed reports and provide statistical evidence to support their conclusions and recommendations
- assist in the implementation of new systems
- talk to experts in other fields when introducing new equipment such as computers.

Environmental Engineering and Consulting

Environmental engineers are concerned with assessing and managing the effects of human and other activity on the natural and built environment. They apply their engineering knowledge and skills to such things as environmental impact assessment, natural resources management and pollution control.

Environmental engineers may perform the following tasks:

- research and develop new technologies and techniques to improve the environmental acceptability of engineering projects
- evaluate environmental and social impacts of engineering projects in association with the public, scientists and other engineers
- work with occupational health experts to ensure a hazardfree working environment
- prepare reports and studies on the best approach to environmental management in new and existing engineering projects, taking into account environmentally sustainable economic activity and legal, environmental and industrial factors
- effectively communicate relevant issues to other technical staff, managers, regulatory authorities, public interest groups and the public.

Mine Project Management

Mine Project Managers are responsible for coordinating the mine construction. They make and interpret plans, supervise and direct people working on the project, control costs and payments and negotiate with mine owners and subcontractors.



Mining & Metallurgy Engineers / Consultants Proposal form

2011 - 2012

Professional indemnity insurance, optional public liability insurance

If you are faxing your proposal form, please do not send the original.

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	Yes, please specify		Yes No		
6. Sta	aff numbers				
Ple	ease state numbers of:				
(a)	Principals / Directors / Partners				
(b)	Other qualified technical staff				
	Total staff numbers				
Note: Ple netallurg	ease supply CVs of all principals and senior consultants if yo ny engineers/consultants facility.)	u have not previously been insui	red by the Aon mining and		
(c)	Please list details of any relevant Association membe	rships			
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7. (a)	State the percentage break up of revenue within t provide. Please include past consulting services				
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-	nt note: note the pages 4 & 5 of the proposal form provides you wi	th a list of services covered by	the below engineering facet		
		_			
	<u>Activity</u>	<u>Percentage</u>	<u>Tick if you have perform</u> this activity in the past		
(i)	Coological Engineering & Consulting	%			
(i)	Geological Engineering & Consulting Mining Engineering & Consulting	%			
(ii)		%			
(iii)	Shot Firing Metallurgical Engineering & Consulting	%			
(iv)	Petroleum Engineering & Consulting	%			
(v)	Environmental Engineering & Consulting	%			
(vi)					
	Mine Management Consulting	%			
(vii)	Mine Project Management Services	%			
(vii) (viii)	Mina Castashnical Engineering				
(vii) (viii) (ix)	Mine Geotechnical Engineering	%			
(vii) (viii)	Other (please provide details on separate attachment)	%			
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(c)	Oth	ner a	ctivities or se	ervices:											
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	(ii)		valuation repo resource estin		ation servic	es? F	Pleas	se note thi	is do	oes not in	clude minera	ıl Ye	s		No
	(iii)		merger and a									e Ye	s		No
			Note: excludes sud	ch services	s or repor	ts fro	m (i), (ii) and	(iii)	above.					
8.	Со	nsult	ants												
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	(b)	Wha	t percentage o	of your activ	vities are le	et to o	utsi	de consult	ants	s?					%
9.	(a)	Zeal	our activities and?		utside the	Com	mor	nwealth o	fΑι	ıstralia a	nd New	Ye	s [No
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13.		Have	tivities e you undertal s, please des	-	•	tivitie	s no	t describe	d in	questions	s 4. and 7.?	Ye	s		No
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	. ,		ou wish us to		-				-		?	Ye	s		No



14. Do you have a	current policy in place?	If Yes, please supply deta	ils below:		Yes	,	No	
Insurer / Broker		Limit of Ind	emnity	\$				
Excess	\$	Expiry Date	•					
15. Indicate Limit o	of Indemnity required: (Minimum limit available \$	\$1,000,000)				
Option 1	\$	Option 2	2	\$				1
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16. Indicate Excess	s required in respect of	each and every claim:		\$				
· · · · · · · · · · · · · · · · · · ·	ired for any principals' the following information	==	viding the	same profe	ssional ser	vice	es),	
(a) Name of par	tner/principal							-
(b) Name of pre	vious firm							
(c) Date when p	artner left the previous fir	m						_
For a public liability question 24.	required limit (any one or the premises:	<u></u>	is insurand	e is not requi			eed to	
Address	<u> </u>	Occupancy	No. of	Storeys	Floor Area			٦
			110101					-
(b) leased by yo	u							
Address		Occupancy	No. of	Storeys	Floor Area			
business?	I Facilities following used by you, or goods elevators, escala		•	_	in the coul	г	of your	j
(b) Scaffolding?					Ye	s	No	
(c) Welding or c	utting plant or other equip	oment involving the applic	cation of he	eat?	Ye	s	No	
(d) Unregistered required?	d mechanically propelled	vehicles for which compu	lsory insur	ance is not	Ye	es [No	
(e) Railway sidir	ngs?				Ye	s	No	
(f) Boiler or pres statute or req	ssure vessels for which a gulation?	certificate is required to	be issued	under any	Ye	s	No	

(If you have answered Yes to any of questions 20. (a), (b), (c), (d), (e) and/or (f) please provide full details on a separate sheet.)



		- ciripioyod by you ii	old adequate liability insurance?
	(b) What is the value of payments made to contractors / s	sub-contractors?	\$
	Contractual Are you required to accept the liability of others regardles	ss of fault?	☐ Yes ☐ No
	Insurance History		
	(a) Which Insurer previously insured you for Public Liabil	ity?	
	(b) Have any claims been made against you in respect of damage in the past five years?	f your legal liability for	or injury or Yes No
	If Yes, please provide the following details. If space it	nsufficient, please a	nttach a separate sheet.
	(i) Date of injury/damage (ii) Nature of injury/damage		
	(iii) Insurer (iv) Amount of claim		
	(c) Are you aware of any circumstances which may give in (b) above	rise to a claim not n	nentioned Yes No
If yo	a have answered Yes to questions 23. (b) and/or (c), plea	ase provide full deta	ils on a separate sheet.)
	The questions below relate to both Professional Inde a) Has any application made by you or your predecesso the insurance covers requested, ever been declined, required special terms?	rs in business in res	spect of any of
(b) Have any claims ever been made against you, your p present or former partner/director or any named entity organisation, association or trust?		
(c) Are any of the partners/directors or staff, after enquiry may result in any claim against you or your predecess present or former partner/director?		
(d) Have you or any partner/director or staff member eve examination enquiry or disciplinary proceedings for m 		vestigation,
	respect?		Yes No
If yo	u have answered Yes to any of questions 24. (a), (b), (c)	and/or (d) please pr	rovide full details on a separate sheet
	DECLARATION AN	D AGREEMENT	
ur	We acknowledge that I/we have read the Notice to the production of the production of the production of the terms and conditions as set out in the policy	oposal form is accep	
	We declare that the information contained in this proposal appressed nor mis-stated any facts, and I am authorised light		
_ ;	Signature of Partner/Principal/Director	Date	e signed

Note: This proposal form can only be actioned once ALL questions have been answered and the above declaration has been signed and dated. Acceptance is also subject to underwriting guidelines.



Claims addendum

If you have answered Yes to any of questions 24. (a), (b), (c) and/or (d) of this proposal form, please provide the following details in respect of each matter.

If more than one matter, copy this form as required before proceeding further.

If there is insufficient space to answer questions, please continue on your headed notepaper and attach it to this addendum. Year of notification: Name of insurer (if any): Name of claimant: Nature of problem: Where applicable, please advise what procedures have been put in place to minimise similar occurrences in the future \$ Amount paid or estimated \$ Potential total liability Is matter finalised or outstanding? If possible, please provide a claims report from the insurer that is handling this claim. **Declaration** I/We confirm that the information provided above is true and correct. Name of Practice Signature of Principal/Director/Proprietor Date signed



Please return completed proposal form to your nearest Aon office:

New South Wales	Victoria
Level 1, 130 George Street, Parramatta NSW 2000 PO Box 1331, Parramatta NSW 2124	Level 51, 80 Collins Street, Melbourne VIC 3000 GPO Box 1230, Melbourne VIC 3001
phone 02 8623 4000 tollfree 1800 251 774	phone 03 9211 3000 tollfree 1800 805 191
fax 02 9633 5257	fax 03 9211 3510
Queensland	South Australia
Level 6, 175 Eagle Street, Brisbane QLD 4000	Level 10, 63 Pirie Street, Adelaide SA 5000
GPO Box 65, Brisbane QLD 4001	GPO Box 514, Adelaide SA 5001
phone 07 3223 7400	phone 08 8301 1111 tollfree 1800 806 493
fax 07 3223 7542	fax 08 8301 1100
Western Australia	
Level 32, 250 St Georges Terrace, Perth WA 6000	
PO Box 7026, Cloister Square, Perth WA 6850	
phone 08 9429 4444	
fax 08 9429 4495	

